Facilitator Guide

Module 1:
Comprehensive, Balanced Systems of Assessment
Facilitator Guide
Module 1: Comprehensive, Balanced Systems of Assessment

Through this module participants will learn about the components of a comprehensive, balanced assessment system and how different assessments can work together to support student learning. The module will support participants to consider types and purposes of different assessments and appropriate use of assessment data. Participants will also build an understanding of the assessment cycle.

This module includes materials for:

- An approximately one-hour professional learning session, including the Comprehensive, Balanced Systems of Assessment PowerPoint presentation.
- An approximately one-hour teacher collaboration activity session. Information for this activity is available in this guide and the Teacher Collaboration Activity PowerPoint presentation.

Module Learning Goals:
Participants will understand:
1. The characteristics of a comprehensive, balanced assessment system
2. The purpose and appropriate use of different types of assessment
3. The elements of the cycle of assessment

Module Success Criteria:
Participants will be able to:
1. Evaluate different types of assessment in use in your classroom and school
2. Identify appropriate use of the student evidence they elicit
3. Describe the purpose of different assessment tools and strategies to parents and students
Role of the Facilitator:

The facilitator’s role in this module is to 1) facilitate the professional learning module, and 2) facilitate the teacher collaboration activity.

- All materials have been prepared for facilitators and further details are available in this document.
- Facilitators should review all materials and make adjustments based on timing, group size, local priorities, local norms, presentation format (face-to-face or digital learning environments) and facilitator’s personal presentation style.
- Facilitator notes (available here and as slides notes for each slide) provide flexible options for content delivery and activities and are designed to support facilitator decisions.
- The facilitator for this module does not have to be an expert on assessment. While this facilitation guide is intended to provide the background knowledge and scaffolding necessary for facilitators to lead the sessions in this module, the priority for facilitators should be supporting participant sense-making. Therefore, facilitators should not feel pressure to be seen as “experts” on assessment.

Part 1: Professional Learning Session

Agenda:

<table>
<thead>
<tr>
<th>Section</th>
<th>Time</th>
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<tbody>
<tr>
<td>Section 1: Introduction</td>
<td>5 min</td>
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<tr>
<td>Section 2: What is a Comprehensive, Balanced Assessment System?</td>
<td>15 min</td>
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<tr>
<td>Section 3: Types and Purposes of Assessment</td>
<td>20 min</td>
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<tr>
<td>Section 4: Cycle of Assessment</td>
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What you will need:

- Module 1 PowerPoint presentation
Section 1: Introduction

Slides: 1-3

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<th>Slide #</th>
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<tbody>
<tr>
<td>1</td>
<td>Title slide</td>
<td><img src="image1" alt="Module 1: Comprehensive, Balanced Systems of Assessment" /></td>
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<td>Introduce the content on the slide by providing the following information.</td>
<td><img src="image2" alt="Learning Goals" /></td>
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<td>• As we will discuss throughout this module, understanding where we as</td>
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<td>learners are heading and how we will know if we are successful is</td>
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<td>essential for teaching and learning and a key aspect of quality</td>
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<td>assessment practices.</td>
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<td><img src="image3" alt="Success Criteria" /></td>
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<td>At the end of this learning sequence, you should be able to:</td>
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<td>• Evaluate different types of assessment in use in your classroom and</td>
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<td>• Identify appropriate use of the student evidence they elicit</td>
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<td>• Describe the purpose of different assessment tools and strategies to</td>
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<td>parents and students</td>
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**Section 2: What is a Comprehensive, Balanced Assessment System?**

**Slides: 4-12**

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<td>Comprehensive, Balanced Systems of Assessment Section</td>
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<td>5</td>
<td>Introduce the content on the slide by providing the following information.</td>
<td><img src="image" alt="Assessment Literacy" /></td>
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What does it mean to be “assessment literate” and why does it matter?

There are many definitions of assessment literacy. Here are two that can help participants see different ways of describing assessment literacy.

Ask participants to read and reflect on the two definitions.

Next, facilitate a discussion in which participants can share their reactions to and ideas about the definitions. Consider using some of the following questions to support the discussion.

- What key words jump out at you in either of these definitions?
- Which definition resonates with you most and why?
- What do the definitions have in common and what differences do you note?
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| 6      | **Introduce the content on the slide by providing the following information.** Consider using the following questions to support the discussion.  
- Why is assessment literacy important for teaching and learning?  
- What role do you think students play in terms of being assessment literate?  
Be prepared to ask probing questions and support participants in exploring some of these ideas:  
- The purpose of assessment is to improve outcomes for students  
- Educators need knowledge about ways to understand how students are learning and what to do with the information they get  
- Students need information to take ownership of their own learning | ![Assessment Literacy and Classroom Practice](image) |
| 7      | **Introduce the content on the slide by providing the following information.** Assessment should always provide evidence of student learning to inform decision-making.  
Without a clear picture of why students are engaged in any assessment and what the evidence of student learning produced will be used for, we risk wasting resources, contributing to over-testing, and misusing and misinterpreting data about student learning. Some assessments are designed to provide evidence that focuses on the big picture in our educational system. Other assessment tools and practices are intended to provide guidance about where to go next in teaching and learning in the classroom. You can walk through the information on the slide, making note of the examples that represent the big picture | ![Why Do We Assess?](image) |
Module 1:
Comprehensive, Balanced Systems of Assessment

Slide # | Guidance | Slide Image
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8 | **Introduce the content on the slide by providing the following information.**
Different stakeholders in our educational systems need to make different kinds of educational decisions. Because we use evidence to make different kinds of decisions, we need a variety of assessments that yield different types and levels of evidence. There is no one-size-fits-all when it comes to assessment.

**Facilitate a discussion that focuses on the kinds of decisions made by these stakeholder groups and what information they might need.** Participants don’t need to engage in a detailed discussion of each stakeholder; in the interest of time, a facilitator may decide to focus on school leaders, teachers, and students, the most relevant stakeholder groups in the context of this professional learning session.

You may want to start the discussion by providing an example, like: “The Kentucky Department of Education, for example, needs information to make decisions about which school districts are not meeting performance expectations and need additional support and resources. So, they need evidence that shows how well school and districts are supporting students to meet grade-level expectations reflected in the standards.” Then you can ask participants to turn to a partner or small group and share their ideas about what kind of decisions educators make and what evidence they need to make those
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<td>decisions. Ask participants to share out their ideas. If the training is given in a digital setting, participants can share ideas in the chat box.</td>
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| 9      | **Introduce the content on the slide by providing the following information.**  
A comprehensive and balanced assessment system is one that is designed to provide evidence that meets the needs of the variety of stakeholders, like those we just considered.  
Either read or ask participants to read to themselves this definition for a comprehensive, balanced assessment system.  
Ask participants to react to the definition by identifying key words that jump out. Presenters may want to have some key words and thoughts about them ready to prompt discussion. For example, “I like the combination of the terms comprehensive, coherent, and continuous because they really illustrate what it looks like for assessment tools and strategies to work together as part of a system.” | ![Slide Image](image-url) |
| 10     | **Introduce the content on the slide by providing the following information.**  
A comprehensive, balanced assessment system:  
- Uses a full range of measurement approaches to provide evidence of student learning that can inform decision-making at all levels of the system—different types of assessment tools and processes provide the information needed to make good decisions in the classroom, at the district, and across the state. | ![Slide Image](image-url) |
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<td>• Provides evidence of student learning across all learning expectations—in a comprehensive, balanced assessment system all learning expectations are measured. There aren’t large gaps of learning expectations for which we don’t get student evidence.</td>
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| **11** | **Introduce the content on the slide by providing the following information.**  
• Aligns to common learning expectations—all assessments are pointing us in the same direction, shared learning expectations  
• Uses assessment and the resulting evidence of student learning for the purposes for which they were intended  
• Creates conditions for effective assessment practices—this may include ensuring that educators have the time and training they need to appropriately engage in assessment and interpret and act upon the evidence they produce |

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**Module 1:**  
Comprehensive, Balanced Systems of Assessment
Facilitation Instructions Section 3: Types and Purposes of Assessment

Slides: 12-25

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<td>Types and Purposes of Assessment Section</td>
<td>![Slide 12](Types and Purposes of Assessment)</td>
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| 13      | Introduce the content on the slide by providing the following information.  
There are four primary assessment purposes:  
- Formative  
- Diagnostic  
- Interim  
- Summative  
Let’s build a common understanding of each of these types of assessment. | ![Slide 13](Purposes of Assessment) |
| 14      | Introduce the content on the slide by providing the following information.  
Formative assessment is first and foremost a **process** engaged in by students and teachers together. It happens during learning and is more than just eliciting evidence of student learning (like a quiz or an exit ticket), it requires noticing, recognizing and responding to the evidence of student learning in order to support progress toward learning standards or goals.  
The formative assessment process provides students and teachers with rapid feedback that can be used to adapt teaching and learning. | ![Slide 14](Formative Assessment Process) |
Encourage participant questions and be prepared to ask questions to check for understanding. Possible questions include:

- What is an example of the formative assessment process in your practice?
- Does this description match your understanding of the formative assessment process?

Introduce the content on the slide by providing the following information.

Diagnostic assessment is a formal strategy or tool designed to measure specific student strengths and weaknesses in student learning relative to student learning standards or goals. Diagnostic assessments focus on individual students.

Clarify the distinction between diagnostic assessment and the formative assessment process, by noting that while both the formative assessment process and diagnostic assessments are designed to help teachers more effectively support student learning, diagnostic assessments are not an ongoing process embedded in teaching and learning. Instead, they are specific measurement tools and strategies used when educators need more detailed information about individual students to inform next steps for instruction or intervention.

Diagnostic assessment can be commercially developed products or can be teacher created tools and strategies.
**Slide 16**

**Introduce the content on the slide by providing the following information.**

Interim or benchmark assessments are usually administered at specific intervals over the course of an academic year in order to compare student understanding or performance against a set of learning standards or objectives. Interim assessments are often common across classes or schools in a district.

Interim assessments can give us information about progress toward the longer-term learning expectations and can inform future instructional decisions and school improvement planning. When well-aligned to common learning expectations, interim assessments can be predictive of end-of-year performance.

**Interim/Benchmark Assessment**

- **Description**
  - Compare student understanding or performance against a set of learning standards or objectives
  - May be administered at specified intervals over the course of an academic year
  - May be common across classes or schools

- **Purposes**
  - Monitors students’ academic progress toward longer-term goals
  - Assesses curriculum, instructional strategies, and pacing
  - Examines school improvement planning
  - May predict a student’s end-of-year performance

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**Slide 17**

**Introduce the content on the slide by providing the following information.**

Summative assessment comes at the end of a period of learning. We often think of summative assessment as statewide end-of-year assessment, but it can also refer to classroom-level summative assessments. In either case, summative assessment provides information about students in relation to a set of learning expectations. Summative assessment is intended to monitor and evaluate student achievement at the group-level and inform program-level and school improvement planning.

Summative assessment is, by definition, given after a period of learning, and therefore doesn’t provide information that can inform ongoing teaching and learning of individual students. Instead, it provides an overall picture of how a system is preparing students to meet the learning expectations.

**Summative Assessment**

- **Description**
  - May be referred to as a “culminating assessment” or an “end-of-course” assessment
  - Provides information on students’ knowledge and skills relative to learning standards

- **Purposes**
  - Provides an overall description of students’ learning status
  - Monitors and evaluates student achievement at the group level
  - Examines program-level and school improvement planning
Introduce the content on the slide by providing the following information.

Assessment types can be differentiated by several different factors including grain size (meaning the volume of learning expectations measured by the assessment) frequency, and immediacy of actionable information (meaning how directly it can inform teaching and learning at the classroom). This table shows us a comparison of the four different types of assessment we just described across these factors.

Diagnostic assessment and the formative assessment process are both small grain-size—this means they focus on a small group of learning expectations or standards and they both provide information that can rapidly inform teaching and learning in the classroom. The key difference between them is that diagnostic assessment is a measurement tool designed to identify specific strengths and weaknesses in individual students. The formative assessment process is an ongoing process embedded in teaching and learning. As an example, diagnostic assessments may provide information about specific students who could benefit from intervention groups or additional instructional opportunities. During the process of teaching and learning, the formative assessment process may help surface a misconception that content needs to be clarified before moving on to the next step in learning.

Interim assessment usually focuses on a broader group of learning expectations, takes place at designated intervals throughout the year, and is designed to inform future instructional planning. This could mean informing a grade-level team about specific standards for which their students are still struggling and support planning to reteach or bring in different curricular resources for that content.

Summative assessment usually focuses on a large swath of the learning standards for the period of instruction being covered and comes at the end of a learning period, often at the end of the year. Summative assessment isn’t intended to provide
**Slide #** | **Guidance** | **Slide**
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19 | **Introduce the content on the slide by providing the following information.**

We are going to do an activity to help apply the descriptive information about different types of assessment to assessment tools and practices that you may be familiar with in your schools and classrooms. Our goal is to spark discussion that can help us clarify understanding of the different types of assessment, so don’t feel pressure to get the “right” answer.

Participants can use this document as a reference sheet to support decision-making


Considerations for facilitating this activity:

- Try to create an environment where participants will feel comfortable sharing their guesses and understand that this isn’t an assessment of them, but a chance to apply earlier learning.
- Consider strategies that allow participants to share their guess about the assessment type in a “low-stakes” way. In a face-to-face setting, this could mean assigning each assessment type a number and asking participants to vote using their fingers, allowing table groups to discuss and come to a consensus decision, and a polling application that allows participants to vote anonymously (a number of polling applications offer free versions). In a digital delivery setting, many video conference platforms have embedded poll functions.
- Keep in mind that the type of assessment depends on the purpose and how the evidence is used—so more than one answer could be right depending on how the assessment tool or strategy is used.
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| 20     | **Introduce the content on the slide by providing the following information.**  
Which of the four types of assessment do you think a lab report is?  
Ask participants to reflect and vote using whatever voting method you selected for this activity (see previous slide)  
**Guide a discussion that explores participant responses.**  
Focus the discussion on why participants selected a specific type of assessment. This builds participants’ capacity to identify the different types of assessment and their purposes.  
A lab report is usually a written description of the process and findings for a scientific experiment. Often, a lab report is completed after students have finished a scientific experiment and therefore is usually a classroom-level summative assessment. This is because it comes at the end of a period of learning and provides evidence of student progress toward the learning expectations for that specific learning period. It isn’t usually used by teachers and students to provide immediate feedback. Teachers and students likely have other formative assessment strategies they engage in during a lab experiment to...  

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<td>provide immediate direction for teaching and learning. Ask participants to review the information provided in the handout. Is there a scenario in which a lab report could be considered a different type of assessment? What would a teacher do? What would students do?</td>
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<td>21</td>
<td><strong>Introduce the content on the slide by providing the following information.</strong> Which of the four types of assessment do you think an exit ticket is? Ask participants to reflect and vote using whatever voting method you selected for this activity (see slide 19). <strong>Guide a discussion that explores participant responses.</strong> Focus the discussion on why participants selected a specific type of assessment. This builds participants’ capacity to identify the different types of assessment and their purposes. An exit ticket, a strategy that asks students to complete a specific task and hand it in before they leave class, is one of the most frequently cited examples of formative assessment. However, it is important to remember that formative assessment is a process, not the specific tool or strategy. So, in and of itself, an exit ticket is not formative assessment. For an exit ticket to be a true example of formative assessment it needs to involve analysis and feedback or a pedagogical response that engages students in their own learning. If a teacher asks students to complete an exit ticket as an accountability mechanism but doesn’t adjust teaching and learning based on the evidence of student learning provided in the exit tickets, it isn’t formative assessment.</td>
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| 22     | **Introduce the content on the slide by providing the following information.**  
Which of the four types of assessment do you think primary spelling inventory is?  
Ask participants to reflect and vote using whatever voting method you selected for this activity (see slide 19).  
**Guide a discussion that explores participant responses.**  
Focus the discussion on why participants selected a specific type of assessment. This builds participants’ capacity to identify the different types of assessment and their purposes.  
If the group isn’t familiar with the primary spelling inventory, it may be helpful to tell them before they vote that it is a tool used in kindergarten through third grade. It contains lists of words that represent different spelling features and is designed to give information about stages of early grade spelling development. There are other types of spelling inventories and this is one example.  
The primary spelling inventory is designed as a diagnostic assessment. It is a specific tool designed to provide specific information about a where a student is in their development in a particular area, in this case, spelling. While this tool does provide information that is immediately actionable for teaching and learning, perhaps identifying students for intervention or providing guidance about student groupings, it is not generally an example of formative assessment. This is because of the purpose for which it was designed—as a tool—not a process embedded in teaching and learning. |
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| 23     | **Introduce the content on the slide by providing the following information.**  
Which of the four types of assessment do you think common formative assessment is?  
Ask participants to reflect and vote using whatever voting method you selected for this activity (see slide 19).  
**Guide a discussion that explores participant responses.**  
Focus the discussion on why participants selected a specific type of assessment. This builds participants’ capacity to identify the different types of assessment and their purposes.  
Common formative assessments are typically assessment tools created collaboratively by a team of teachers, like a grade-level assessment to be given to all students at a set time in the curriculum.  
Common formative assessments are often used to provide common data about implementation of the guaranteed curriculum and to provide information to support changes in instruction and professional learning, as well as to identify specific students who may need additional support. When used in this way, despite the name, common formative assessment, is an interim or benchmark assessment, not an example of formative assessment.  
In order to help participants navigate this discussion, you may want to ask them first to describe what they know about how common formative assessments are used and then ask them to review the handout description of the formative assessment process before they vote. |
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| 24     | **Introduce the content on the slide by providing the following information.**
This is a chance for you to reflect on learning about different types of assessment and their purposes in a comprehensive, balanced assessment system.
Considerations:
If participants asked questions and shared their thinking during the earlier slides or if you are short on time, this reflection could be brief or skipped altogether. | ![Reflection on Types and Purposes of Assessment](slide24.jpg) |

**Facilitation Instructions Section 4: Cycle of Assessment**

**Slides: 25-33**

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<td><strong>Cycle of Assessment Section</strong></td>
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| 26     | **Introduce the content on the slide by providing the following information.**
No matter the type of assessment, observable evidence of what students know and can do in relation to the learning expectations are the basis for high quality assessment practice. Identifying appropriate evidence to demonstrate student progress toward learning expectations and interpreting that evidence appropriately to inform a response requires | ![Evidence of Student Learning](slide26.jpg) |
a deep knowledge of the standards or learning expectations being measured.

**27**

**Introduce the content on the slide by providing the following information.**

We introduced this section about the cycle of assessment by discussing evidence of student learning (see previous slide) because the assessment cycle, which applies to all types of assessment, is based on the understanding that assessment is about using evidence to reason about what students know and can do in order to make decisions about teaching and learning.

At all stages of the assessment cycle, high-quality assessment relies on a solid understanding of learning expectations being assessed. This requires clarity about the standards and the learning progressions that students travel through to achieve the standards.

With clearly articulated learning expectations as a guide, the cycle of assessment moves through the process of eliciting evidence of student learning, interpreting that evidence, and most importantly, taking action to improve teaching and learning based on the evidence.

This is a cycle because this is an ongoing process.

As we discussed previously in this presentation, there are a variety of types of assessment that provide different information to support different types of educational decision-making. But whether we focus on the formative assessment process, diagnostic, interim or summative assessment, each of these steps in the cycle are relevant to ensure stakeholders have
### Guidance

Meaningful information about student learning which is used to move student learning forward toward learning expectations.

In the next few slides, we will dig into each of these components of the formative assessment cycle. However, the purpose is just to give a high-level overview to help you think about the elements of high-quality assessments of all types. In future modules, we will focus more on the formative assessment process and consider deeply the role of students and teachers.

### Slide 28

**Introduce the content on the slide by providing the following information.**

For any kind of high-quality assessment, you must know what it is that you are measuring and identify what will constitute achievement.

To start, it is crucial to have a very clear and deep understanding the specific expectations articulated in the standards, including clarity about the level of rigor or cognitive complexity within the skills in each of the standards.

It also requires understanding the learning progressions that lead to the standards. A learning progression is the student learning pathway that leads toward the standards; it is not the same as the scope and sequence in curricular materials. It is about how students’ progress in their learning.

It is also critical to identify what specifically you are measuring, which means identifying the specific, standards-aligned intended learning being measured, and how you will determine where students are in progress toward the intended learning. Sometimes the intended learning is the...
actual standard, it can also be a milestone on the learning progression toward the standard.

In the formative assessment process, this involves establishing learning goals (what students should know at the end of the learning period) and success criteria (observable evidence that teachers and students will use to decide how students are progressing toward their learning goals).

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<td><strong>Introduce the content on the slide by providing the following information:</strong> Eliciting evidence is the gathering of <em>meaningful</em> information about student learning. For any assessment tool or strategy to provide meaningful information, it must be valid. Validity is the extent to which an assessment accurately measures what it is intended to measure. This is essential for all types of assessment, from the formative assessment process to summative assessment. A valid assessment tool or strategy must be well aligned to the learning expectations being measured. This means an item, task, or strategy must match not only the content of the standard or learning expectation, but the cognitive complexity or rigor outlined within the skills described in the standard. Eliciting meaningful evidence also requires thoughtful selection and design of assessment items or strategies. Different item types and formative assessment strategies should be matched both to the assessment content and context. This could include selected response items (also known as multiple choice), constructed...</td>
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response items (which are structured open-ended questions), performance tasks (which ask students to produce products or perform tasks to demonstrate mastery of learning expectations), or questioning, and observation. Strategy selection should be aligned to the kind of evidence you have identified based on the intended learning. It is also important to consider if you have collected sufficient evidence of student learning with which to reason about what students know and can do. Offering students multiple and varied ways of demonstrating their learning supports meaningful understanding. Using an appropriate number of items or employing multiple assessment strategies are just a few ways to make sure you have offered students the opportunity to demonstrate their knowledge and skills.

An assessment tool or strategy is not valid if it has unnecessary barriers that constrain students from demonstrating what they know and can do. Unnecessary barriers are those that are not related to the construct being measured. One type of unnecessary barrier is bias, which is when an assessment tool or strategy provides an advantage or disadvantage to groups of students because of their personal characteristics, such as race, gender, socioeconomic status or religion.

Learning goals and success criteria can be an important guide for ensuring that an assessment tool or strategy is aligned and equitable because they require a clear understanding of both the construct being measured and the specific evidence that would allow students to demonstrate their progress toward a learning goal.
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<td>Once meaningful evidence is elicited, educators must interpret that evidence. This requires making sense of evidence in relation to the learning expectations and related learning progressions that can support taking action in the next step. Peer and self-evaluation and empowering students to make sense of data about their own learning produced in assessment, even summative and interim assessment, is an important part of interpreting evidence. Students need instructional support and modeling for effective peer- and self-evaluation and to make sense of assessment data. We need to be able to interpret the evidence provided by individual students, but also to look at patterns across groups of students. So whether in the formative assessment process or in summative assessment, we need interpretation tools and strategies like rubrics and informal analysis plans that allow us to understand where an individual student is in their progression toward the learning expectation. But we also need to look at data from groups of students to inform action as well. Interpreting evidence sometimes involves recognizing that you need more information. Summative assessment is only one measure of student learning and should be taken in the context of other measures. In the formative assessment process, you may realize that the evidence you have is only telling you that the student has not mastered the learning expectation, but you may need to engage in additional strategies to get the nuance you need to</td>
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<td><strong>Introduce the content on the slide by providing the following information.</strong> Acting on evidence of student learning is probably the most important, and all too frequently overlooked, element of the assessment cycle no matter the type or purpose of assessment. The action taken should be contingent upon the purpose of the assessment tool or strategy and reflect the learning expectations and progressions. In a summative assessment context, where the learning expectation includes most of the standards and comes at the end of learning period, the evidence usually informs action related to policy and practice decisions, like investments in culturally responsive teacher professional development, design of a support strategy to ensure fidelity in implementation of a math intervention, or making adjustments to the curriculum in upcoming years. Interim assessment, where the learning expectations include a smaller group of standards, evidence usually informs actions toward future instruction, perhaps revising upcoming instruction to address gaps or identifying students or teachers in need of additional support. In the formative assessment process, where the learning expectations are narrowly focused on a smaller grain size of the standards, evidence should inform action about the next moves that students and teachers make in the classroom to move students along in their learning progression.</td>
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| 32     | Facilitate a discussion that helps participants reflect on their learning and make connections to their own practice. Ask participants to reflect and share ideas in response to the following questions:  
  - How do students use evidence of their own learning?  
  - What is one thing you are reflecting on for your own practice?  
Facilitators may wish to pose different reflections questions. | Reflection |
| 33     | Final Slide | Kentucky Department of Education |

Module 1:  
Comprehensive, Balanced Systems of Assessment