School and District Audit
- School and district audits must be scheduled within forty-five (45) days of notification. 703 KAR 5:280(4-5).
- KDE recommends that audits include a review of relevant data, review of CSP/CCDP, stakeholder interviews, direct observation, working condition surveys, school council minutes and agendas, and other information deemed necessary by the Commissioner of Education.
- School and district audits also include a review of the leadership team’s level of functioning. 703 KAR 5:280(4-5).

Leadership Implications
- Following the release of audit findings by the Commissioner to the school and district:
  - the superintendent may retain or reassign the principal and the superintendent shall select a principal if a vacancy occurs,
  - upon recommendation of the principal, the superintendent may reassign certified staff members, and
  - the superintendent and principal shall collaborate with the turnaround team. KRS 160.346(7).
- Names and addresses of Advisory Leadership Team (ALT) members must be submitted to KDE. 703 KAR 5:280(8).

Audit Report
- The report shall include a diagnosis of the causes of the school’s low performance, an assessment and recommendation regarding the principal’s capacity, an assessment of the interaction among the superintendent, central office staff, and the principal, a recommendation of steps the school may take to launch and sustain a turnaround process, a recommendation to the local board of the turnaround principles and strategies necessary, and additional criteria recommended by KDE. KRS 160.346(6).

Turnaround Plan
- Shall be developed within thirty (30) days after the Commissioner notifies the school of the audit findings. 703 KAR 5:280(7).
- The three (3) year plan shall be approved by the superintendent, local board, and Commissioner of Education. KRS 160.346(7) and 703 KAR 5:280(7).
- The LEA may request technical assistance from KDE. KRS 160.346(7).
- Must include evidence-based practices. 703 KAR 5:280(7).
- Must include a comprehensive list of persons and entities involved. 703 KAR 5:280(7).
- Must include a review of resource inequities. 703 KAR 5:280(7).

Exit CSI
- Schools identified for Comprehensive Support and Improvement (CSI) shall exit status when:
  - they no longer meet the criteria for their identification, and
  - they demonstrate progress on the overall score, which encompasses all indicators included in Kentucky’s accountability system as established in 703 KAR 5:270. 703 KAR 5:280(15).
- CSI schools identified as a result of more than one criteria shall exit if all relevant exit criteria are met. 703 KAR 5:280(15).

Funding
- If a local board of education selects a non-KDE Audit or Turnaround Team, the department shall annually reimburse the school district, for a maximum of three (3) years, an amount not to exceed the amount budgeted by the department to serve as the turnaround team to a school. KRS 160.346(8).
- Includes a school audit conducted by KDE, onsite assistance by KDE staff, and evaluation and modification of the school turnaround plan. 703 KAR 5:280(10).
- Districts serving schools that qualify for more rigorous intervention shall receive additional district audits every two (2) years. 703 KAR 5:280(10).

More Rigorous Intervention
- Schools who fail to exit CSI status after three (3) years or fail to make annual improvement for two (2) consecutive years receive more rigorous intervention. 703 KAR 5:280(10).
- Includes a school audit conducted by KDE, onsite assistance by KDE staff, and evaluation and modification of the school turnaround plan. 703 KAR 5:280(10).

Audit Team
- A local board of education may select either a KDE or non-KDE team. KRS 160.346(5).
- Audit teams must have documented expertise in diagnosing the causes of an organization’s low performance and performing turnaround leadership. KRS 160.346(5).
- Non-KDE teams must be approved by KDE. The Department has 15 days to process notification. 703 KAR 5:280(2).
- No LEA employees may serve on the audit team. KRS 160.346(5).

Turnaround Team
- LEA’s have three (3) options for selecting a turnaround team:
  - conduct a Request for Proposals (RFP) for a private entity,
  - build a team using local staff and/or community partners, or
  - utilize the services provided by the Kentucky Department of Education. KRS 160.346(7).
- LEA’s complete the “Notification of Non-Department Turnaround Team” form within 15 days of receiving their audit findings. 703 KAR 5:280(7).
- Non-KDE teams must be approved by KDE. The Department has 15 days to process the notification. 703 KAR 5:280(2).

LEA Declaration
- Within thirty (30) days of identification, the LEA must declare its intent to use KDE or an outside team to lead its audit. 703 KAR 5:280(2).
- LEA’s complete the “Notification of Non-Department Audit Team Form,” 703 KAR 5:280(16), which can be found on the KDE website.

Identification
- Schools will be identified for Comprehensive Support and Improvement (CSI) if...
  - they are in the lowest 5% of schools by level,
  - they are a high school with a graduation rate below 80%, or
  - they fail to exit Additional Targeted Support and Improvement (ATSI) status after three years. KRS 160.346(3).
- Upon identification, the authority of the SBDM council is transferred to the superintendent. 703 KAR 5:280(4).

Comprehensive Support and Improvement
- LEA’s have three (3) options for selecting a turnaround team:
  - conduct a Request for Proposals (RFP) for a private entity,
  - build a team using local staff and/or community partners, or
  - utilize the services provided by the Kentucky Department of Education. KRS 160.346(7).
- LEA’s complete the “Notification of Non-Department Turnaround Team” form within 15 days of receiving their audit findings. 703 KAR 5:280(7).
- Non-KDE teams must be approved by KDE. The Department has 15 days to process notification. 703 KAR 5:280(2).
- No LEA employees may serve on the audit team. KRS 160.346(5).

Non-KDE teams must be approved by KDE. The Department has 15 days to process notification. 703 KAR 5:280(2).

NOTE: The school improvement regulation, 703 KAR 5:280, is currently undergoing revision. Proposed changes to the regulation are indicated in red text throughout this document.