

# KENTUCKY DEPARTMENT OF EDUCATION PUBLIC NOTICE AND COMMENT PERIOD

### WAIVER REQUEST PURSUANT TO 34 CFR 200.6(c)(4)

Jul. 22, 2024 through Jul. 31, 2024

Pursuant to 34 CFR 200.6(c)(4), the Kentucky Department of Education (KDE) will seek to extend a waiver for the 2024-2025 school year from the Secretary for the United States Department of Education. The regulation in question, found at 34 CFR 200.6(c)(2), requires state education agencies to limit the total number of students assessed in a subject area using an alternate assessment aligned with alternate academic achievement standards (AA-AAAS). The limit on the percentage of students assessed by the AA-AAAS is set by federal regulation, stating the total number of students assessed with the AA-AAAS per subject area shall not exceed 1.0%.

Specifically, <u>34 CFR 200.6(c)(2)</u> provides:

"For each subject for which assessments are administered under 34 CFR 200.2(a)(1), the total number of students assessed in that subject using an AA-AAAS under paragraph (c)(1) of this section may not exceed 1.0% of the total number of students in the state who are assessed in that subject."

NOTICE OF PUBLIC COMMENT PERIOD: Any individual or organization may submit written comments on the proposed waiver pursuant to 34 CFR 200.6(c)(4). Written comments shall be accepted through Jul. 31, 2024.

You may send written comments on the proposed waiver to: Carol Ann Morrison, Director, Division of IDEA Monitoring and Results, Office of Special Education and Early Learning, Kentucky Department of Education, 300 Sower Boulevard, Fourth Floor, Sower Building, Frankfort, KY 40601.

Comments may also be submitted electronically to <u>carol.morrison@education.ky.gov</u>. Also, comments may be submitted by telephone at (502) 564-4970 extension 4123, or by fax at (502) 564-4124.

The requirements for a state education agency to seek a waiver are set forth at <u>34 CFR</u> <u>200.6(c)(4)</u> and are reproduced below. The KDE is requesting to extend a waiver previously granted by the United States Department of Education.



# Kentucky 1.0% Request to Extend Waiver for Reading, Mathematics and Science ESSA section 1111(b)(2)(D) and 34 CFR 200.6(c) and (d) Submission to US Department of Education Aug. 13, 2024

In accordance with <u>34 CFR 200.6(c)(4)</u>, Kentucky is providing evidence of all requirements to extend Kentucky's 1.0% waiver for Reading, Mathematics and Science. Please note, additional information will be included in an addendum after final participation data is available.

# Requirement One (34 CFR 200.6(c)(4)) Submission 90 Days Prior to State Testing Window Opening

(i) Be submitted at least 90 days prior to the start of the state's testing window for the relevant subject:

The Kentucky Department of Education (KDE) is submitting a waiver extension request to the United States Department of Education (USED) 90 days prior to the start of the testing window for the alternate assessment aligned with alternate academic achievement standards (AA-AAAS).

Kentucky's statewide assessment, the Kentucky Summative Assessment (KSA), first of two assessment windows for the AA-AAAS for students with significant cognitive disabilities is scheduled to begin on Nov. 11, 2024.

# Requirement Two (34 CFR 200.6(c)(4)) State Level Data

- (ii) Provide state-level data, from the current or previous year, to show:
- (A) the number and percent in each subgroup who took the AA-AAAS in the subject area:

The KDE reviewed eligibility data from Kentucky's student information system (KSIS), known as Infinite Campus (IC), for the 2023-2024 school year. The purpose of this review was to determine the potential participation rates of students taking the AA-AAAS as preliminary data was unavailable for the required timeline of submission. Table One includes the number and percentage of Kentucky students eligible to participate in statewide assessments including participation in the AA-AAAS. Eligibility data for the school year 2023-2024 is the raw number of students eligible to participate in state assessments provided by the student information system. The eligibility data does not include state-required review and validation at the state, LEA and school level that will impact final data for public reporting.

The eligibility data reviewed indicated at least 1.0% of Kentucky students were eligible to participate in each of the subject areas included in the AA-AAAS in the 2023-2024 school year. Therefore, based on these preliminary data, Kentucky anticipates exceeding the 1.0% threshold outlined in the ESSA for the 2024-2025 school year.

The final assessment data is not yet available. Once available, it will be publicly reported on the Open House website and the Kentucky School Report Card. Therefore, in Table One, the KDE provided the number and percentage of all students, including each subgroup of students, who



were eligible to participate in the AA-AAAS in the 2023-2024 school year by subject area.

Kentucky's state assessment window for the AA-AAAS is scheduled to begin Nov. 11, 2024. Due to the requirement that states submit a waiver at least 90 days prior to the start of the assessment window, the assessment data was not available from the 2023-2024 school year before the deadline for submission of the waiver request. Therefore, Table One shows an estimate of students eligible to take the AA-AAAS based on December 1 Child Count data. When the final assessment data is available in January 2025, the KDE will review and submit the final data in an addendum. Table One will be updated at that time. Final data will be submitted to USED no later than February 28, 2025.

Table One: 2023-2024 school year AA-AASS Eligible Students

SUBJECT	ELIGIBLE TO PARTICIPATE IN AA-AAAS	ELIGIBLE TO PARTICIPATE IN IN STATEWIDE ASSESSMENT	PERCENT ELIGIBLE TO PARTICIPATE IN AA-AAAS
Reading (elementary/middle/high)	3,958	337,168	1.17%
Math (elementary/middle/high)	3,958	337,168	1.17%
Science (elementary/middle)	1,759	145,165	1.21%

# **Evidence of Progress**

The KDE will analyze various sources to measure progress made toward the previously approved 1.0% waiver from USED once final data is obtained in January 2025.

Table Two will demonstrate the overall rates of assessment participation for the 2023-2024 assessment year. When the final assessment data is available, the KDE will review and submit the final data in an addendum. Table Two will be updated at that time.

Table Two: Overall Rates of Assessment Participation for 2023-2024

Group	All Students Grades 3-8 and High School (HS) Reading/Language Arts (R/LA)	Students with Disabilities Grades 3-8 and High School (R/LA)
Students Assessed		
Students Enrolled		
Assessment Participation		
Rate		



Group	All Students Grades 3-8 and High School Math	Students with Disabilities Grades 3-8 and High School Math
Students Assessed		
Students Enrolled		
Assessment Participation		
Rate		
Group	All Students Grades 3-8 and	Students with Disabilities
	High School Science	Grades 3-8 and High School
		Science
Students Assessed		
Students Enrolled		
Assessment Participation		
Rate		

Table Three will demonstrate the participation rates for each subgroup that participated in the AA-AAAS. When the final assessment data is available, the KDE will review and submit the final data in an addendum. Table Three will be updated at that time.

Table Three: AA-AAS Participation Rates by Subgroup for 2023-2024

Group	Total Number in Grades 3-8 and HS	Number Taking AA-AAAS in Grades 3-8 and HS	Percent Taking AA-AAAS in Grades 3-8 and HS
R/LA			
All Students			
Hispanic			
American Indian/Alaskan Native			
Asian			
Black			
Hawaiian/Pacific			
Islander			
White			
Two or More			
Races			
Male			
Female			



Group	Total Number	Number Taking	Percent Taking
	in Grades 3-8	AA-AAAS in	AA-AAAS in
	and HS	Grades 3-8 and	Grades 3-8
		HS	and HS
English Learner			
Economically			
Disadvantaged			
Mathematics			
All Students			
Hispanic			
American			
Indian/Alaskan			
Native			
Asian			
Black			
Hawaiian/Pacific			
Islander			
White			
Two or More			
Races			
Male			
Female			
English Learner			
Economically			
Disadvantaged			
Science			
All Students			
Hispanic			
American			
Indian/Alaskan			
Native			
Asian			
Black			
Hawaiian/Pacific			
Islander			
White			
Two or More			
Races			
Male			



Group	Total Number in Grades 3-8 and HS	Number Taking AA-AAAS in Grades 3-8 and HS	Percent Taking AA-AAAS in Grades 3-8 and HS
Female			
English Learner			
Economically			
Disadvantaged			

Table Four demonstrates the AA-AAAS rates by subject for the last five years. The data for the 2023-2024 school year is an estimate of students eligible to take the AA-AAAS based on December 1 Child Count data. The estimated data for the 2024-2025 is not yet available. When the final assessment data is available in January 2025, the KDE will review and submit the final data for 2023-2024 along with the estimated data for the 2024-2025 school year in an addendum. Table Four will be updated at that time.

Table Four: AA-AAS Rates by Subject, by Year

School Year	R/LA	Mathematics	Science
2024-2025			
2023-2024 (estimate)	1.17%	1.17%	1.21%
2022-2023	1.16%	1.15%	1.14%
2021-2022	1.12%	1.12%	1.14%
2020-2021	1.10%	1.10%	1.10%

(B) Data showing at least 95% participation overall and for students with disabilities subgroup

Kentucky met, exceeded or had a waiver related to participation for the federal guidelines set at 95% participation for all students, including students with disabilities, in the Kentucky state assessment every year prior to 2023-2024.

Table Five will demonstrate the percentage of students with disabilities who participated in the AA-AAAS during the 2023-2024 school year. Table Five will be analyzed after the final participation data is calculated in January 2025. This section will be updated at that time and included in the addendum.



Table Five: 2023-2024 School Year Overall Statewide, Assessed by Subject

Subject	Enrolled	Assessed	Percent Tested
Reading/Language Arts - All Students Total Grades 3 - 8 and High School (HS)			
Reading/Language Arts -Students with Disabilities (SWD) Total Grades 3 - 8 and HS			
Mathematics - All Students Total Grades 3 - 8 and HS			
Mathematics - SWD Total Grades 3 - 8 and HS			
Science - All Students Total Grades 3 - 8 and HS			
Science - SWD Total Grades 3 - 8 and HS			

# Requirement Three (34 CFR 200.6(c)(4)) Assurances

(iii) included assurances from the State that it has verified each LEA that the State anticipates will assess more than 1.0% of its assessed students in any subject area using AA-AAAS did the following:

(A) Each LEA follows the state's participation guidelines:

With final assessment data for the 2023-2024 school year not yet available, the KDE required written assurances from all LEAs in the state. Written assurances were completed by the superintendent, Director of Special Education (DoSE) or a designee of the LEA. Evidence of written assurances can be found in <u>Appendix F</u> and <u>Appendix G</u>.

When KDE has final participation data for the 2023-2024 school year, the KDE will contact all LEAs who exceeded the 1.0% participation in the AA-AAAS in at least one subject area. Those LEAs will be required to provide the KDE with a detailed justification for exceeding the 1.0% threshold. The justification will confirm:

- IEP teams utilized the <u>Kentucky Alternate Assessment Participation Guidelines</u>
  <u>Documentation Form</u> appropriately for each student who would participate in the alternate assessment;
- LEAs addressed any disproportionality in the percentage of students within each subgroup taking the AA-AAAS; and



• LEAs analyzed student data to make decisions for each student participating in the alternate assessment.

Information on how to view the Local District Justifications for Alternate Assessment Participation is published on the <u>Kentucky Alternate Assessment Participation Waiver webpage</u>. The Justification Response form can be found in <u>Appendix H</u>.

#### **Evidence of Progress**

The KDE required LEAs who had more than 1.0% of students participating in the 2022-2023 AA-AAAS to submit information to the state justifying the need to exceed the 1.0% threshold. The KDE developed a Justification Requirement Form to lead LEAs through a root cause analysis to determine why more than 1.0% of the student body was assessed using the AA-AAAS. The justification form is reviewed and revised annually by the KDE's Office of Special Education and Early Learning (OSEEL). LEAs who assessed more than 1.0% of students in any subject of the AA-AAAS were provided instructions on completing a Justification Requirement Form.

The Justification Requirement Form also required LEAs to report and document:

- Local training needs;
- Descriptions of appropriate training, support and oversight provided to IEP team members:
- Justification(s) for exceeding 1.0% participation;
- Analysis of AA-AAAS eligibility data; and
- Descriptions of the monitoring process used to monitor AA-AAAS participation and possible disproportionality.

The KDE, in collaboration with the University of Kentucky's (UK's) Human Development Institute (HDI), provided LEAs with six professional development modules related to AA-AAS. The modules were required for all new and current IEP Team members, known in Kentucky as Admissions and Release Committee (ARC) members including special education teachers, ARC chairpersons and DoSEs.

- Module 1: The KY 1% Alternate Assessment Waiver 3rd-12th Grade
- Module 2: Completing the Participation Guidelines for 3rd-12th Grade
- Module 3: Preparing for ARC- Participation Guidelines for 3rd-12th Grade
- Module 4: Learner Characteristic Inventory
- Module 5: Using Data Sources to Understand and Describe Alternate Assessment Population
- Module 6: Annual Review Guidance for Alternate Assessment

The KDE will continue to provide guidance, support and oversight to all LEAs who anticipate exceeding the 1.0% threshold. More information on the KDE plan for guidance and oversight is provided in *Requirement 4(B)-34 CFR 200.6(c)(4)(iv)* below.



(B) Assurance that LEAs over 1.0% will address any disproportionality in the students taking the AA-AAAS.

As part of the Justification Response Form, LEAs are required to describe the processes used to monitor AA-AAAS eligibility and possible disproportionality in participation.

The KDE will continue to review LEAs based on a rate comparison calculation to ensure disproportionality is addressed. The rate comparison calculation identifies when the data for any group is disproportionate to others not in the measured group.

Kentucky uses risk ratio calculation. A risk ratio is the comparison of two different risks: the risk for a specific student group to participate in the AA-AAAS compared to the risk for all students not in that student group to participate in an AA-AAAS.

### For example,

- White students participating in the AA-AAAS: 2
- All white students assessed in the LEA: 250
- Non white students participating in the AA-AAAS:4
- Non white students assessed in the LEA: 2000
- The risk of white students participating in the AA-AAS is 2/250=0.008=.8%
- The risk of non white students participating in the AA-AAAS is 4/2000=.002=.2%
- Risk ratio= .8/.2=4.00
- Therefore, white students are 4.00 times more likely than non white students to participate in the AA-AAAS.

In the event the data reveals any disproportionality in the percentage of students participating in the AA-AAAS, the KDE will address the issues as follows:

- Review LEAs with high rates of disproportionality
  - As stated in the National Center of Educational Outcomes (NCEO) 2019 brief titled, Guidance for Examining Disproportionality of Student Group Participation in Alternate Assessments, "a risk ratio of 1.0 indicates that the proportion of focal group students who are AA-AAAS participants is the same as the focal group who are not AA-AAAS participants. In other words, there is no evidence of disproportionality when the risk ratio is at or very near 1." The KDE referenced the principles established in the NCEO framework and example provided by NCEO, reviewed Kentucky specific data and determined a 2.0 threshold was appropriate to determine disproportionality.
- Complete desk reviews of student files in the identified subgroup to determine if the decision for a student to participate in the AA-AAAS met regulatory requirements using the <u>Participation Guidelines for the Kentucky Alternate Assessment Review</u> document.
  - o If the decision has not met regulatory requirements and noncompliance is found, the LEA is issued a Corrective Action Plan (CAP). The CAP must include a root cause analysis of systemic issues, a statement of noncompliance to be corrected,



the steps the LEA will take to correct the problem and how compliance will be documented.

- Provide technical assistance if the decision for a student's participation in the AA-AAAS
  did not meet the state criteria for a "significant cognitive disability". The LEA may seek
  technical assistance from its Special Education Regional Technical Assistance Center
  (SERTAC) with the development of the CAP. These activities may include but are not
  limited to the following:
  - Assisting LEAs in developing action steps to correct any disproportionality issues identified;
  - o Training for chairpersons of IEP team meetings;
  - o Training for special education teachers;
  - Scheduled record reviews of students with disabilities eligible to participate in the AA-AAAS;
  - o Training for LEA staff on IEPs; and
  - o Resources for parents of students participating in the AA-AAAS.
- Assist LEAs in developing action steps to correct any issues identified with disproportionality.

## **Evidence of Progress**

The KDE will examine statewide and LEA final data by subgroup and subject area for the 2023-2024 school year. The KDE will determine whether subgroups had a risk of disproportionate participation in the AA-AAAS using the recommended disproportionality calculator from the NCEO.

This information will be displayed in <u>Table Three</u> when the final data is calculated in January 2025.

#### Requirement Four (34 CFR 200.6(c)(4)) Plan and Timeline

- (iv) The SEA must submit a plan and timeline by which the following will be accomplished:
- (A) State will improve the implementation of its guidelines for participation in the AA-AAAS, including by reviewing and, if necessary, revising its definition of students with the most significant cognitive disabilities.

<u>Appendix K</u> includes the 2024-2025 school year proposed timeline for the KDE 1.0% waiver activities.

During the 2023-2024 assessment year, the KDE continued to meet and focus on analyzing data to identify trends and develop new training and guidance to educate staff and parents on AA-AAS participation and students with cognitive disabilities.

The 2023-2024 Kentucky 1.0% Request to Extend Waiver for Reading, Mathematics and Science outlined plans to create parent resources, including parent training modules. In the 2023-2024 school year, the KDE began developing recorded parent modules to assist parents in understanding the AA-AAAS. The KDE met with stakeholders including the statewide parent



advocacy group, Kentucky Special Parent Involvement Network (KY-SPIN), to gain input before publishing the modules. Five modules were developed and published:

- Comparing the Regular State Assessment and Alternate Assessment
- Kentucky State Assessment Participation Options
- Regular Diploma vs. Alternative High School Diploma
- Accommodations vs. Modifications
- Completing the Kentucky Alternate Assessment Participation Guidelines

The recorded parent modules can be found under parent resources on the <u>Kentucky Alternate</u> <u>Assessment Waiver</u> webpage. The modules were shared with stakeholders through OSEEL's weekly News You Can Use, which is distributed to local school districts and other stakeholders, including KY-SPIN.

The KDE will continue to collaborate with technical assistance (TA) centers funded by USED's Office of Special Education Programs (OSEP) and will maintain its involvement in the NCEO 1.0% Communities of Practice (CoP) to improve the KDE's ongoing work for students with the most significant cognitive disabilities.

The KDE will continue to seek feedback from stakeholders to review and, if necessary, revise state resources related to AA-AAAS participation and the definition of students with the most significant cognitive disabilities. If any revisions are required, the KDE will collaborate with the Special Education Regional Technical Assistance Centers (SERTACs) to develop training and guidance to support LEAs.

# **Evidence of Progress**

The KDE worked with NCEO to support the KDE's ongoing work around students with significant cognitive disabilities during the 2023-2024 school year. For example, the KDE participated in NCEO sponsored activities including the 1% CoP bi-weekly calls, webinars and other state-sponsored convenings to improve the agency's ongoing work pertaining to students with significant cognitive disabilities and their participation in the AA-AAAS.

Additionally, the KDE participated in bi-annual collaboratives sponsored by the Council of Chief State School Officers (CCSSO). Through collaboration, the KDE was able to interact with other states to enhance state assessment, accountability and curriculum and instruction systems to provide equity and inclusion for students with disabilities.

The KDE developed five modules to assist parents of students with disabilities. The modules are meant to assist parents with understanding the differences in the regular state assessment and the alternate assessment including how participating in the AA-AAAS may impact the student after completing high school. The modules were developed with stakeholder input. They are recorded and available to the public under parent resources at the <a href="Kentucky Alternate Assessment">Kentucky Alternate Assessment</a> <a href="Participation Waiver webpage">Participation Waiver webpage</a>.

To improve the implementation of participation guidelines, the KDE completes an AA-AAAS



participation desk review and issues technical assistance to LEAs as needed. This process allows the KDE and the LEA to identify the root causes of misidentification and documentation of errors. Once this is complete, the KDE and LEA develop a plan to train and implement the guidelines more efficiently. During the 2024-2025 school year, the KDE will provide support to LEAs and SERTACs to assist in analyzing 1% participation data.

(B) State will take additional steps to support and provide oversight to each LEA that the state anticipates will exceed the 1.0% threshold to ensure that only students with the most significant cognitive disabilities take an alternate assessment. The state must describe how it will monitor and regularly evaluate each such LEA to ensure that the LEA provides sufficient training such that school staff who participate as members of an IEP team or other placement team understand and implement the guidelines established by the state for participation in AA-AAAS so that all students are appropriately assessed.

The KDE and SERTACs will continue to support each LEA through guidance, training and coaching sessions which include:

- AA-AAAS eligibility requirements;
- IEP development and implementation; and
- Instructing and assessing students participating in the AA-AAAS.

More information about the training offered can be located on the SERTACs collaborative alternate assessment webpage. More information on the KDE trainings and guidance available to support LEAs in the implementation of the <a href="Kentucky Alternate Assessment Participation">Kentucky Alternate Assessment Participation</a> Guidelines Documentation form can be located on the <a href="Kentucky Alternate Assessment">Kentucky Alternate Assessment</a> Participation Waiver webpage.

The KDE will continue to provide oversight to LEAs through the AA-AAAS participation desk reviews. The KDE will follow the monitoring process to review a sample of due process files from IC for students found eligible to participate in the AA-AAAS. During the review, the KDE will monitor whether students met all eligibility criteria to participate in the AA-AAAS and will verify whether the LEA correctly utilized the Kentucky Alternate Assessment Participation Guidelines Documentation Form to document all IEP team decisions.

The KDE will continue to partner with the UK's HDI to provide universal trainings to all LEAs. All teachers working with students taking the AA-AAAS are required to complete AA-AAAS training annually from a KDE approved trainer, such as the UK's HDI. LEA administrative staff monitor and track the completion of trainings.

#### Requirement Five (34 CFR 200.6(c)(4)) Substantial Progress

(v) If the state is requesting to extend a waiver for an additional year, the state is to meet the requirements in paragraph (c)(4)(i) through (c)(4)(iv) and demonstrate substantial progress toward the achievement of each component of the prior year's plan and timeline, which was required under Requirement 4(C).

During the 2023-2024 school year, Kentucky required LEAs who had more than 1.0% of



students eligible to participate in the AA-AAAS to submit information to the state justifying the need to exceed the 1.0% threshold. The KDE developed a Justification Requirement Form to lead LEAs through a root cause analysis to determine why more than 1.0% of the student body was assessed using the AA-AAAS. LEAs who assessed more than 1.0% of students in any subject of the AA-AAAS were provided the data along with instructions on completing a Justification Requirement Form.

The Justification Requirement Form also required LEAs to report and document:

- Local training needs;
- Descriptions of appropriate training, support and oversight provided to IEP team members;
- Justifications for exceeding 1.0% participation;
- Analysis of AA-AAAS eligibility data; and
- Descriptions of the monitoring process used to monitor AA-AAAS participation and possible disproportionality.

Kentucky's SERTACs received justification responses from LEAs which assisted SERTACs in determining needed training and support. In addition, the Justification Requirement Form, a summary of LEA responses and a list of LEAs that exceeded 1% participation are posted and available to the public on the Kentucky Alternate Assessment Participation Waiver webpage.

The KDE, in collaboration with the UK's HDI, provided LEAs with six professional development modules related to AA-AAAS.

The KDE reviewed IEP team decisions regarding alternate assessment participation and determined whether these decisions were consistent with the Individuals with Disabilities Education Act (IDEA) and federal and state regulations 34 CFR § 300.320 (a)(6)(ii) and 707 KAR 1:320, Section 5.

LEAs were required to follow the <u>Guidance for ARCs on Participation Decisions for the Kentucky Alternate Assessment</u> to ensure that students met all eligibility criteria for participating in the AA-AAAS. LEAs were required to document IEP team decisions on the <u>Kentucky Alternate Assessment Participation Guidelines Documentation Form</u> or in the conference summary. The OSEEL conducted desk reviews to ensure that students were appropriately meeting eligibility criteria to participate in the AA-AAAS, and the IEP team decisions were appropriately documented.

All LEAs that assessed over 1.0% of their student population using the AA-AAAS were subject to a data review. If an LEA was found to meet one or more of the criteria below, the OSEEL performed further monitoring by reviewing a sample of student due process files. The criteria for determining whether an LEA required additional monitoring included:

• The LEA exceeded the 1.0% AA-AAAS participation rate in the 2022-2023 school year. Through a desk review process, the OSEEL randomly reviewed 10% of school districts assessing greater than 1.0% of their population using the AA-AAAS.



- The district met state-established criteria for high disproportionality of racial or ethnic groups participating in the AA-AAAS during the 2022-2023 school year. The OSEEL used a comparison rate methodology to determine if disproportionality in AA-AAAS participation existed in districts. For AA-AAAS, disproportionality by race or ethnicity existed when the following occurred:
  - There were at least 30 students assessed, via both AA-AAAS and regular assessment, in the racial or ethnic group being examined;
  - There were at least 10 students in the racial or ethnic group being examined who were assessed via AA-AAAS; and
  - The rate at which students in the racial or ethnic group being examined was 2.0 times or greater than the rate in all other races who were identified.

The OSEEL required each LEA identified to provide a sample of due process records from the student information system, known as IC, for students found eligible to participate in the AA-AAS. The student due process records were reviewed by an OSEEL team using the <a href="Participation Guidelines for the Kentucky Alternate Assessment Record Review">Participation Guidelines for the Kentucky Alternate Assessment Record Review</a> document.

During the review, the OSEEL monitored whether the students had been appropriately identified as meeting all eligibility criteria to participate in the alternate assessment and verified whether the LEA correctly utilized the Kentucky Alternate Assessment Participation Guidelines Documentation Form to document all IEP team decisions, per 707 KAR 1:320, Section 5 (11).

Table Six displays the results of desk reviews conducted for the four years and demonstrates the progress Kentucky LEAs have made in determining AA-AAAS participation for individual students. The data shows that LEAs in Kentucky have made significant improvements in using the Kentucky Alternate Assessment Participation Guidelines in making individual decisions for students.

Table Six: Compliance Rates of LEAs that used AA-AAAS Participation Guidelines Appropriately

School Year	Number of LEAs reviewed	Number of LEAs that demonstrated compliance	Compliance Rate of LEAs
2019-2020	40	5	12.50%
2020-2021	33	9	27.27%
2021-2022	14	11	78.57%
2022-2023	14	9	64.28%

While the AA-AAAS compliance rates of LEAs decreased slightly in the 2022-2023 school year, overall compliance continues to be significantly higher than when monitoring began in the 2019-2020 school year. The KDE analyzed monitoring data for the last two years and found the most



common area of noncompliance was related to 707 KAR 1:320, Section 5 (11) which states if an IEP team "determines a child meets criteria for participation in the alternate portfolio, as provided in 703 KAR 5:070, it shall provide a statement of its decision and the reasons for the decision." Based on the data from the last two years, the KDE determined that additional training is needed for LEAs in how to document an IEP team's decision for determining a student eligible for AA-AAAS participation. To address improvement needs, the KDE will work with SERTACs to modify current AA-AAAS training. Additionally, the KDE submitted a proposal to the Kentucky Council for Exceptional Children (CEC) requesting to present a session on AA-AAAS, specifically focused on making participation decisions using the state guidelines. This session will be open to LEA staff across the state that work with students taking the AA-AAAS.

# Alignment to Kentucky's Vision for Public Education

<u>United We Learn</u> is Kentucky's vision for the future of public education. This vision builds around three central themes: creating a more vibrant experience for every student, encouraging innovation in our schools – especially when it comes to assessment- and creating a bold new future for Kentucky's schools through collaboration with our communities.

Building on the strength and success of KDE initiatives to date, education stakeholders are working on ways to enrich student experiences in our schools and improve learning outcomes for all students. The KDE's work with alternate assessment participation aligns with the purpose and goals of Kentucky's United We Learn vision. The KDE not only works to reduce participation in AA-AAAS to meet federal requirements but also to ensure each student has access to rigorous curriculum and assessments.



# **Appendix A: Documentation of Evidence**

# SECTION 1: INITIAL WAIVER REQUEST REQUIREMENTS

# **Requirement One-34 CFR 200.6(c)(4)(i):**

State Response	Supporting Evidence
Kentucky indicated the dates of its upcoming	KDE Assessment Calendar
AA-AAAS testing window. The state	• Appendix B: KDE's news release of
provided the opportunity for public comment	the public notice and comment period
and confirmed that the waiver request was	• <u>Appendix C</u> : Public notice of waiver
submitted 90 days prior to the beginning of	request posted for public comment
the testing window.	• <u>Appendix D</u> : Public comments
	received by the KDE
	• <u>Appendix E</u> : KDE's response to the
	public comment (Statement of
	Consideration)

# Requirement Two (A)- 34 CFR 200.6(c)(4)(ii)(A):

State Response	Supporting Evidence
State Response  Kentucky will provide detailed data on AA-AAS participation in 2023-2024 school year for all ESSA required subgroups.	<ul> <li>Supporting Evidence</li> <li>KDE Assessment Calendar</li> <li>Table One: School Year 2023-2024         eligible students</li> <li>Table Two: Overall Rates of         Assessment Participation for 2023-         2024 When the final assessment data         are available in January 2025, the</li> </ul>
	<ul> <li>KDE will review and submit the final data in an addendum. Table Two will be updated at that time.</li> <li>Table Three: Alternate Assessment Participation Rates by Subgroup for</li> </ul>
	<ul> <li>2023-2024 When the final assessment data are available in January 2025, the KDE will review and submit the final data in an addendum. Table Three will be updated at that time.</li> <li>Table Four: AA-AAS Rates by Subject, by Year</li> </ul>



# Requirement Two (B)- 34 CFR 200.6(c)(4)(ii)(B):

State Response	Supporting Evidence
Kentucky will provide detailed data on	• Table Five: 2023-2024 School Year
overall assessment participation rates for all	Overall Statewide, Assessed by
students and for students with disabilities to	<b>Subject</b> When the final assessment
confirm that at least 95% of all students and at	data are available in January 2025, the
least 95% of students with disabilities	KDE will review and submit the final
participated in state assessments for the 2023-	data in an addendum. Table Five will
2024 school year.	be updated at that time.
	• KDE School Report Card (2023-2024
	data will be available in January 2025)

# <u>Requirement Three (A)- 34 CFR 200.6(c)(4)(iii)(A):</u>

State Response	Supporting Evidence
Kentucky provided an assurance that it has verified that each LEA with more than 1.0% participation in the AA-AAAS followed the State's guidelines for participation.	<ul> <li>Appendix F: Assurances email sent to LEAs</li> <li>Appendix G: Written Assurances Form</li> <li>Appendix H: Justification Requirement Form</li> <li>Appendix I: Universal training module outline-a series of six universal training modules are required for all IEP team members and are located on the UK HDI Learning Management System (LMS). The purpose of these training modules was to implement the requirements set forth in the ESSA and to support LEAs in the implementation of the Kentucky Alternate Assessment Participation Guidelines Documentation form.</li> </ul>

# <u>Requirement Three (B)- 34 CFR 200.6(c)(4)(iii)(B):</u>

State Response	Supporting Evidence
Kentucky will provide an assurance that it has	• <u>Table Three:</u> Alternate Assessment
verified that each Kentucky LEA with more	Participation Rates by Subgroup for
than 1.0% participation in the AA-AAAS will	20223-2024 When the final
address any disproportionality in participation	assessment data are available in
in the AA-AAAS.	January 2025, the KDE will review
	and submit the final data in an
	addendum. Table Three will be
	updated at that time.

Public Reporting of AA-AAAS participation by subgroup is located in the School Report Card under Academic Performance, Assessment and Performance, State Assessments (Enrollment), Assessed vs. Not Assessed
<ul> <li>See Requirement Four (B) and (C) in waiver request for documentation of state monitoring based on disproportionality rate comparison.</li> </ul>

# **Requirement Four (A)- 34 CFR 200.6(c)(4)(iv)(A):**

State Response	Supporting Evidence
Kentucky provided a plan and timeline for improving its alternate assessment guidelines. This plan will be updated once final participation data is calculated and analyzed.	Appendix J: 2023-2024 Waiver Timeline- updated with completion dates
participation data is calculated and analyzed.	<ul> <li>Appendix K: 2024-2025 Waiver         Initiatives Timeline Draft     </li> <li>Parent Modules</li> </ul>

# <u>Requirement Four (B)- 34 CFR 200.6(c)(4)(iv)(B):</u>

State Response	Supporting Evidence
Kentucky provided a plan and timeline for additional steps it has taken to support and provide appropriate oversight of Kentucky LEAs expected to assess more than 1.0% on the AA-AAAS.	<ul> <li>Requirement Three and Requirement         Four of the Kentucky 1.0% Waiver to         be submitted by Aug. 13, 2024 that         includes an outline of the state's steps         to support and provide appropriate         oversight to each LEA that the state         anticipates will assess more than 1.0%         with the AA-AAAS</li> <li>See examples of evidence in         Requirement Four (A)- 34 CFR         200.6(c)(4)(iv)(A) above</li> <li>Appendix J: 2023-2024 Waiver         Timeline- updated with completion         dates</li> </ul>



## Requirement Four (C)- 34 CFR 200.6(c)(4)(iv)(C):

State Response	Supporting Evidence
State Response  Kentucky provided a plan and timeline for addressing any disproportionality. This plan will be updated once final participation data is calculated and analyzed.	<ul> <li>Supporting Evidence</li> <li>See examples of evidence in Requirement Three (B)- 34 CFR 200.6(c)(4)(iii)(B) above</li> <li>Requirement Four (C) of the Kentucky 1.0% Waiver submitted by Aug. 13, 2024, includes an outline of the steps the state took to address any disproportionality in the percentage of students taking the AA-AAAS.</li> <li>Table Three: Alternate Assessment</li> </ul>
	Participation Rates by Subgroup for 2023-2024 When the final assessment data are available in January 2025, the KDE will review and submit the final data in an addendum. Table Three will be updated at that time.  • Public reporting of AA-AAAS participation by subgroup is located in the School Report Card under
	Academic Performance, Assessment and Performance, State Assessments (Enrollment), Assessed vs. Not Assessed table

# SECTION TWO: WAIVER CONTINUATION REQUESTS

Requirements 1, 2(A), 2(B), 3(A), 3(B), 4(A), 4(B), 4(C) [see Section 1]- Meet the requirements in paragraph (c)(4)(i) through (c)(4)(iv).

State response	Supporting Evidence
The state showed that it met each of the four requirements for waiver approval.	• Kentucky's state assessment window for the AA-AAAS is scheduled to begin Nov. 11, 2024. Due to the requirement that states submit a waiver at least 90 days prior to the assessment window, the data was unavailable for the 2023-2024 school year before the deadline for submission of the waiver request. When the final assessment data are available in January 2025, the KDE will review and submit the final data in an addendum.



# **Requirement Five- 34 CFR 200.6(c)(4)(v):**

State Response	Supporting Evidence	
The state will show that it made progress	Evidence to support this requirement	
toward its prior year's plan and timeline.	includes:	
	• <u>Appendix J</u> : 2023-2024 Waiver	
	Timeline-updated with completion	
	dates	
	• <u>Table Three</u>	
	• <u>Table Four</u>	
	• <u>Table Six</u>	
	<ul> <li>Participation Guidelines for the</li> </ul>	
	Kentucky Alternate Assessment	
	Record Review	



Appendix B: KDE's news release of the public notice and comment per
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## Appendix C: Public notice of waiver request posted for public comment

# KENTUCKY DEPARTMENT OF EDUCATION PUBLIC NOTICE AND COMMENT PERIOD

#### WAIVER REQUEST PURSUANT TO 34 CFR 200.6(c)(4)

Jul. 22, 2024 through Jul. 31, 2024

Pursuant to 34 CFR 200.6(c)(4), the Kentucky Department of Education (KDE) will seek to extend a waiver for the 2024-2025 school year from the Secretary for the United States Department of Education. The regulation in question, found at 34 CFR 200.6(c)(2), requires state education agencies to limit the total number of students assessed in a subject area using an alternate assessment aligned with alternate academic achievement standards (AA-AAAS). The limit on the percentage of students assessed by the AA-AAAS is set by federal regulation, stating the total number of students assessed with the AA-AAAS per subject area shall not exceed 1.0%.

Specifically, <u>34 CFR 200.6(c)(2)</u> provides:

"For each subject for which assessments are administered under 34 CFR 200.2(a)(1), the total number of students assessed in that subject using an AA-AAAS under paragraph (c)(1) of this section may not exceed 1.0% of the total number of students in the state who are assessed in that subject."

NOTICE OF PUBLIC COMMENT PERIOD: Any individual or organization may submit written comments on the proposed waiver pursuant to 34 CFR 200.6(c)(4). Written comments shall be accepted through July 31, 2024.

You may send written comments on the proposed waiver to: Carol Ann Morrison, Director, Division of IDEA Monitoring and Results, Office of Special Education and Early Learning, Kentucky Department of Education, 300 Sower Boulevard, Fourth Floor, Sower Building, Frankfort, KY 40601.

Comments may also be submitted electronically to <u>carol.morrison@education.ky.gov</u>. Also, comments may be submitted by telephone at (502) 564-4970 extension 4123, or by fax at (502) 564-4124.

The requirements for a state education agency to seek a waiver are set forth at <u>34 CFR</u> <u>200.6(c)(4)</u> and are reproduced below. The KDE is requesting to extend a waiver previously granted by the United States Department of Education.



# **Appendix D: Public comments**



# **Appendix E: Statement of Consideration**



## Appendix F: Assurances email sent to DoSEs

Good afternoon,

The Kentucky Department of Education (KDE) is seeking to extend the 1.0% Alternate Assessment aligned with Alternate Academic Achievement Standards (AA-AAAS) participation cap waiver for the 2024-2025 school year. The waiver was previously received from the Secretary for the United States Department of Education based on the requirements set forth at 34 C.F.R. 200.6 (c)(2) limiting the total number of students in the state participating in the alternate assessment. The KDE anticipates that the state may exceed the 1.0% alternate assessment threshold in reading/language arts, mathematics and science for the 2024-2025 school year.

As part of the waiver, the state is required to:

"take steps to support and provide appropriate oversight to each LEA that the State anticipates will assess more than 1.0% of its assessed students in a given subject in a school year using an alternate assessment aligned with alternate academic achievement standards to ensure that only students with the most significant cognitive disabilities take an alternate assessment aligned with alternate academic achievement standards."

To assist KDE with the waiver request and ensure all districts are complying with federal and state regulations for AA-AAS participation, all districts will be required to complete an assurance form for the 2024-2025 school year.

Please complete the <u>1.0% AA-AAAS Participation District Assurance Form</u> no later than June 28, 2024 The assurances verify Admissions and Release Committees (ARC) in your district are following the <u>Kentucky Alternate Assessment Participation Guidelines Documentation Form</u> when making assessment participation decisions, all ARC chairpersons have completed the required AA-AAAS participation training modules, parents of students with the most significant cognitive disabilities have been informed of the implications of participation in the AA-AAAS, and your district has addressed any disproportionality in the percentage of students in any subgroup taking the AA-AAAS.

If you have any questions about this process, please contact Linda Funke at linda.funke@education.ky.gov or (502) 564-4970 Ext. 4112.



### **Appendix G: Written Assurance Form**

As part of 34 CFR 200.6(c)(4) States are required to verify that each local education agency (LEA) that anticipates assessing more than 1.0% of its students in any subject area in which assessments are administered using the alternate assessment aligned with alternate academic achievement standards (AA-AAAS);

- (iii): include assurances from the state that it has verified each LEA that the state anticipates will assess more than 1.0% of its assessed students in any subject area using AA-AAAS did the following:
- (A) Each LEA follows the state's participation guidelines; and
- (B) Assurance that LEAs over 1.0% will address any disproportionality in the students taking the AA-AAAS

#### **Name of Person Completing the Form:**

#### **District Name:**

#### **Role of the Person Completing the Form:**

- 1. All Admissions and Release Committees (ARCs) in the district follow the state's guidelines for participation in the AA-AAAS. (Yes/No)
- 2. The district has evidence that the Director of Special Education (DoSE), all ARC chairpersons and all special education teachers who have a caseload that includes students eligible to participate in the AA-AAAS have completed the required AA-AAAS training modules provided by the KDE. (Yes/No)
- 3. All ARCs in the district inform parents of students with the most significant cognitive disabilities of the implications of participation in the AA-AAAS. (Yes/No)
- 4. The district is addressing disproportionality in the percentage of students in any subgroup participating in the AA-AAAS (Yes/No)



### **Appendix H: Justification Requirement Form**

# Kentucky Department of Education Exceeding 1.0% Alternate Assessment Participation 2023-2024 Justification Requirements Form

The Every Student Succeeds Act (ESSA) requires annually each district to submit a justification when it anticipates testing more than 1.0% of students using the Alternate Assessment aligned with Alternate Academic Achievement Standards (AA-AAAS). Each school year, districts that assess over 1.0% of their student population using the AA-AAAS will be required to submit a justification form. If you receive this form, your district exceeded the 1.0% threshold for the AA-AAAS for the 2022-2023 school year. Do not submit any personally identifiable information with this justification.

- 1. Email:
- 2. District Name:
- 3. Name of Person Completing Form:
- 4. Role of Person Completing Form:
- 5. Special Education Regional Technical Assistance Center:
- 6. How has the district ensured all Admissions and Release Committees (ARC) are using the participation guidelines correctly to make AA-AAAS participation decisions?
- 7. Do district staff have questions about specific participation criteria or areas of the AA-AAS eligibility guidelines? (Yes/No)
- 8. Please specify questions the district has about specific participation criteria or areas of the AA-AAS eligibility guidelines.
- 9. Do district staff need additional training? (Yes/No)
- 10. Please specify additional training that may be needed.
- 11. How does the district document that all district ARC members (ARC chairpersons, administrators, special education teachers, etc.), who are involved in making decisions regarding participation in the Alternate Kentucky Summative Assessment (AKSA), have completed the AA-AAAS participation guideline training?

# For the following questions, please identify any factors justifying your district exceeding 1.0% of your student population participating in the AA-AAAS.

- 12. Do ARC members use the participation guidelines effectively to determine whether a student has a significant cognitive disability? (Yes/No)
- 13. Please explain what additional training ARC members may need to use the participation guidelines effectively. Please be specific.



- 14. Do ARC members in the district understand how to gather and analyze data when making the decision for determining whether a student is eligible to participate in the AA-AAAS?
- 15. Please explain specifically in what areas of data gathering and analysis the ARC members may need training.
- 16. Small district sizes may result in a greater impact on participation rates. Is your district participation rate impacted by an overall small student population size? (Example: district size is 180 students with two students with significant cognitive disabilities participating in the AA-AAAS which results in a higher than 1.0% participation rate).
- 17. Please indicate the total district population, total number of students who will participate in the AA-AAAS, and the percentage of students who will participate in the AA-AAAS.
- 18. Does the district include school, community or health programs that draw large numbers of students with the most significant cognitive disabilities? (Yes/No)
- 19. Please list specific schools, communities or health programs that draw large numbers of students with the most significant cognitive disabilities to your district.
- 20. Are there other reasons why your district has more than 1.0% of students eligible for the AA-AAAS? (Yes/No)
- 21. Please describe the other reasons why your district has more than 1.0% of students eligible for the AA-AAAS.
- 22. Has the district reviewed and analyzed data (data examples: disability category, Learner Characteristics Inventory, English Language Learner, communication status) for students eligible for the AA-AAAS? (Yes/No)
- 23. Please provide an analysis of the data.
- 24. Does the district data show disproportionality in specific groups of students taking the AA-AAAS? (Yes/No)
- 25. Describe how your district plans to address disproportionality in certain groups.
- 26. How does the district monitor eligibility for students to participate in AA-AAAS?
  - a. Review of student due process folders for all students eligible to participate in the AA-AAAS.
  - b. Director of Special Education (DoSE) meets/consults and/or participates in ARCs for all AA-AAAS participation determinations.
  - c. Monthly meetings/Professional Learning Communities (PLCs) to review student progress data
  - d. District and school-level administrators monitor AA-AAAS eligibility determinations through Infinite Campus reports.
  - e. Other (please describe below)



27. What additional training or guidance is needed for your district to improve the AA-AAS participation decision-making process? (Example: specific guidance for parents, training on excessive modifications).



## **Appendix I: Universal training module outline**

#### **Administrator Track**

#### Focuses on:

- How my LEA fits into the bigger picture (Modules 1 and 5)
- How my LEA stays compliant with state expectations (Modules 2 and 4)

#### **Educator Track**

#### Focuses on:

- How what I see and collect in the classroom informs how my student participates in KY assessments (Module 3)
- How what I see and collect in the classroom informs the completion of the learner characteristics inventory (LCI) (Module 4)

# Annual Review for ARC Members Module

Refresher module focuses on:

- How to complete the participation guidelines
- How what I see and collect in the classroom informs how my student participates in KY assessments
- How what I see and collect in the classroom informs the completion of the LCI

Module 1 The KY 1% Alternate Assessment waiver	Module 2 Completing the Participation Guidelines	Module 3 Preparing for the ARC- Participation Guidelines	Module 4 Completing the Learner Characteristics	Module 5 Understand Your LEAs alternate assessment
<ul> <li>Change in reporting requirement</li> <li>Communicating with district staff</li> </ul>	<ul> <li>Defining a significant cognitive disability</li> <li>How students participate in KY assessments</li> <li>Accommodation vs. Modification</li> <li>How to use the Participation Guidelines for determination</li> </ul>	<ul> <li>Defining a significant cognitive disability</li> <li>How students participate in KY assessments</li> <li>Accommodation vs. modification</li> <li>How to prepare to answer the Participation Guidelines four criteria</li> </ul>	<ul> <li>Inventory</li> <li>Purpose and use</li> <li>Guidance on answering each question</li> </ul>	• Data sources that could be used • LEA comparisons • Red flags to explore



Appendix J: 2023-2024 Alternate Assessment Participation 1.0% Waiver Work Timeline

2023-2024 Timeline	<b>Anticipated Dates</b>	Status
Kentucky waiver request out for	August 2023	Completed- posted Aug.
public comment		2-Aug. 9, 2023
Statement of Considerations	August 2023	Completed- Aug. 15, 2023
Respond to all public		
comments (ongoing during		
the public comment period)		
Revisions to waiver based on		
public comment		
Kentucky waiver submitted to	Aug. 15, 2023	Completed- Aug. 15, 2023
United States Department of		
Education (USED)		
Must be submitted 90 days prior to		!
assessment		
Beginning of Year Training for	September 2023	Completed- September
Directors of Special Education		2023
(DoSE) and/or designee		
Post Request to Extend on the	September 2023	Completed- Jan. 31, 2024
KDE website		
Parent Modules revised and	Fall 2023	Completed- July 2024
published on the KDE website		
District Justifications due to	January 2024	Completed- March 2024
Division of IDEA Monitoring and		
Results (DIMR)		
DIMR Data Review:	February 2024	Completed- March 2024
• Monitoring Proposal for 2023-		
2024		
Review disproportionality data		
• Review of districts over 1%		
participation		
Desk Reviews of AA Participation	March-April 2024	Completed- April and
•	_	May 2024
Desk Review of AA Participation	May 2024	Completed July and
results and justification responses	_	August 2024
to SERTACs		
End of Year training for DoSEs	May 2024	Completed- March, April
and/or designees	_	and May 2024
Participation in the Alternate	Meets bi-weekly	ongoing
Assessment 1% Community of	throughout the year	
Practice (CoP)		



# Appendix K: 2024-2025 Waiver Initiatives Timeline Draft

2024-2025 Timeline	Anticipated Dates
Kentucky waiver request out for public	July/August 2024
comment	
Statement of Considerations	August 2024
Respond to all public comments	
(ongoing during the public comment	
period)	
Revisions to waiver based on public comment	
Kentucky waiver submitted to United States	Aug. 13, 2024
Department of Education (USED)	
Must be submitted 90 days prior to	
assessment	
Beginning of Year Training for Directors of	September 2024
Special Education (DoSE) and/or designee	
Work with DoSEs who requested additional	Fall 2024
training in the Justification form to develop	
training tailored to the LEAs specific needs	
Post Request to Extend on the KDE website	September 2024
Present at Kentucky's Council of Exceptional	November 2024
Children	
District Justifications due to Division of	March 2025
IDEA Monitoring and Results (DIMR)	
DIMR Data Review:	March 2025
Monitoring Proposal for 2023-2024	
Review disproportionality data	
• Review of districts over 1% participation	
Desk reviews of LEAs to monitor AA-AAAS	April 2025
participation	Y 2025
Desk Review of AA Participation results and	June 2025
justification responses to SERTACs	A 11/0 f 2027
End of Year training for DoSEs and/or	April/May 2025
designees  Participation/Callaboration in	On an in a 4h navah ant tha 2024 2025 anh an
Participation/Collaboration in:	Ongoing throughout the 2024-2025 school
NCEO Alternate Assessment 1%  Community of Practice (CoP)	year
Community of Practice (CoP)	
CCSSO conferences (virtual and in person)	
person)	
KDE's Alternate Kentucky     Summetive Aggregate (AKSA)	
Summative Assessment (AKSA)	
cross-office group	